

APPENDIX A

The table below provides more detail on USOAP CMA activities and developments during 2015.

Activity		Planned/Conducted	Comments
1. On-site USOAP CMA Activities			
1.1	<p><i>USOAP CMA Audits</i></p> <p>Determining States' capabilities for safety oversight by assessing the effective implementation of all safety-relevant ICAO SARPs, associated procedures, guidance material and best safety practices.</p>	<p>As planned for 2015, ten audits were conducted in: Armenia, Azerbaijan, Ethiopia, Guatemala, India, Norway, Panama, Russian Federation, San Marino and Thailand.</p>	<p>Audit results are available on the USOAP CMA online framework at: http://www.icao.int/usoap</p>
1.2	<p><i>ICAO Coordinated Validation Missions (ICVMs)</i></p> <p>Assessing the status of corrective actions taken by the State to address previously identified findings and determining whether the State has satisfactorily resolved deficiencies, including any SSCs.</p>	<p>Fifteen ICVMs were scheduled for 2015.</p> <p>By the end of the year, eighteen ICVMs were conducted across all ICAO Regions (except MID) in: Austria, Bahamas, Belarus, Botswana, Brazil*, Chad*, Congo*, Ecuador, El Salvador, Equatorial Guinea, Lao People's Democratic Republic, Latvia*, Mali, Mauritius, Niger*, Swaziland, Switzerland and Tajikistan.</p> <p>* ICAO also conducted off-site validation activities for these States (see 2.1 below).</p>	<p>The overall EI for these eighteen States increased from 53.31 per cent to 68.18 per cent.</p> <p>States consider ICVMs a form of ICAO assistance that provides guidance and advice on implementation of their corrective actions.</p> <p>ICVM results are available on the USOAP CMA online framework at: http://www.icao.int/usoap</p>

Activity		Planned/Conducted	Comments
2. Off-site USOAP CMA Activities			
2.1	<p><i>Off-site Validation Activities</i></p> <p>Assessing and validating corrective action plans (CAPs) implemented by a State to address certain eligible findings without conducting an on-site activity, i.e. an audit or ICVM.</p>	<p>The goal was to conduct fifteen off-site validation activities for 2015.</p> <p>By the end of the year, 20 off-site validations were conducted in: Benin (two activities), Brazil*, Cameroon, Chad*, China, Congo*, Finland, France, Germany, Hungary, Ireland, Israel, Italy, Kyrgyzstan, Latvia*, Lithuania, Madagascar, Niger* and Togo.</p> <p>* ICAO also conducted ICVMs in these States in 2015 (see 1.2 above).</p>	<p>The evidence for some of the off-site validation activities were collected during visits of ICAO or the European Aviation Safety Agency (EASA) to States.</p>
2.2	<p><i>Mandatory Information Requests (MIRs)</i></p> <p>Requesting information or documentation needed for USOAP CMA assessment and validation.</p>	<p>In 2015, three MIRs were issued, with a total of sixteen MIRs to date.</p> <p>Of these, five MIRs remain open.</p>	

Activity	Planned/Conducted	Comments
3. Training		
<p>3.1 <i>Training of Auditor and Subject Matter Expert Nominees</i></p> <p>Prepare aviation experts from States or recognized international/regional organizations as nominees as a prerequisite to be nominated and further trained as auditors and subject matter experts to conduct USOAP CMA audits and ICVMs.</p>	<p>Sixty-two nominees for training of auditors and subject matter experts took the USOAP CMA CBT in 2015.</p> <p>As of December 2015 and since the launch of the CBT in 2011, 326 participants from sixty-seven States and twelve international/regional organizations have taken the CBT as a prerequisite for USOAP auditor and/or ICVM subject matter expert training.</p> <p>The USOAP CMA roster now includes a total of ninety-eight USOAP auditors and/or ICVM experts.</p>	<p>States and recognized organizations are called upon to nominate experts for secondment to ICAO on a long- or short-term basis in support of the USOAP CMA as auditors and subject matter experts.</p> <p>During 2015, France, Malaysia, Republic of Korea and Singapore continued to provide long-term secondments to support the USOAP CMA.</p>
<p>3.2 <i>Familiarization Training for State Employees</i></p> <p>Provide training for National Continuous Monitoring Coordinators (NCMCs) and familiarize States' safety oversight employees with USOAP CMA methodology and activities.</p>	<p>As of December 2015 and since the launch of the CBT in 2011, 489 participants from ninety States and twelve international/regional organizations have taken the CBT for NCMC and familiarization training.</p>	<p>NCMC and familiarization training allows States to enhance the knowledge and competency of their aviation safety personnel regarding USOAP CMA, particularly to prepare for an upcoming USOAP CMA activity.</p>

Activity		Planned/Conducted	Comments
3.3	<p><i>Seminars/ Workshops</i></p> <p>Assist States in their participation in USOAP CMA and, particularly, preparation for an upcoming USOAP CMA activity.</p>	<p>Ten seminars/workshops were conducted with 298 participants from seventy-six States and nine international/regional organizations.</p> <p>Two seminars/workshops were budgeted and conducted by ICAO. One was hosted by the ICAO WACAF Regional Office in Dakar, Senegal for States in the WACAF Region and another was hosted by the Russian Federation in Moscow for the Commonwealth of Independent States (CIS).</p> <p>Eight seminars/workshops were conducted on a cost-recovery basis in: Australia, Austria, Fiji, Finland (hosted for EASA States), Kazakhstan, Kuwait, New Zealand [hosted for the Pacific Aviation Safety Office (PASO) States] and Singapore (including a few neighbouring States).</p>	<p>Since the transition period and launch of USOAP CMA, seminars/workshops have been conducted in all ICAO regions. Currently, ICAO budgets for and conducts two seminars/workshops per year among Regions on a rotating basis.</p>
