

APPENDIX A

The table below provides more detail on USOAP CMA activities and developments during 2017.

Activity	Conducted	Comments
1. On-site USOAP CMA Activities		
1.1	<i>USOAP CMA Audits</i>	
Determine States' capabilities for safety oversight by assessing the effective implementation of the critical elements of a State safety oversight system.	11 audits were conducted in 2017 (in chronological order): Ukraine, Australia, Uzbekistan, South Africa, Colombia, Germany, Honduras, Lebanon, Bahamas, India and the European Aviation Safety Agency (EASA).	Audit results are available on the USOAP CMA Online Framework at: https://www.icao.int/usoap .
1.2	<i>ICAO Coordinated Validation Missions (ICVMs)</i>	
Assess the status of corrective actions taken by the State to address previously identified findings and determine whether or not the State has satisfactorily resolved deficiencies, including any Significant Safety Concerns (SSCs).	By the end of the year, 21 ICVMs were conducted (in chronological order): Equatorial Guinea*, Costa Rica, France, Angola, Chile, United Republic of Tanzania*, Jordan, Philippines*, Trinidad and Tobago*, Nepal, Rwanda, Mongolia, Finland, Bangladesh, Panama, Thailand, Australia, Indonesia, Burkina Faso, Kuwait and Portugal.	ICVM results are available on the USOAP CMA Online Framework at: https://www.icao.int/usoap . * ICAO also conducted off-site validation activities for these four States (see 2.1 below).
1.3	<i>SSP implementation assessments (voluntary)</i>	

Activity		Conducted	Comments
	Assess the implementation of an SSP in a State and receive feedback and recommendations for possible enhancements to its SSP.	2 SSP implementation assessments (voluntary) were conducted (in chronological order): France and China.	SSP implementation assessments (voluntary) are confidential and cost-recovery. Results are only made available to the State concerned.
2. Off-site USOAP CMA Activities			
2.1	<i>Off-site Validation Activities</i>		
	Assess the status of corrective actions taken by the State to address previously identified findings and determine whether or not the State has satisfactorily resolved deficiencies, without conducting an ICVM.	23 off-site validations were conducted (in chronological order): Malta ¹ , Gabon, Belgium ¹ , United Republic of Tanzania ² , Hungary ¹ , Dominican Republic, Chad ³ , Denmark ¹ , Lithuania ¹ , Equatorial Guinea ² , Benin, Turkey, Philippines ² , Mozambique, Fiji, Nicaragua ³ , Romania ¹ , Trinidad and Tobago ² , Norway ¹ , Bulgaria ¹ and Italy ¹ .	¹ The evidence for the off-site validation activities conducted for these nine States were collected and assessed by experts from the EASA, on behalf of ICAO, as part of an agreement between the two organizations and agreed to by the States. The evidence were subsequently reviewed and validated by experts from the OAS Section. ² ICAO also conducted ICVMs in these four States in 2017 (see 1.2 above). ³ Two off-site validation activities were conducted each for Chad and Nicaragua.
2.2	<i>Mandatory Information Requests (MIRs)</i>		
	Request information or documentation needed for USOAP CMA assessment and validation.	In 2017, 3 MIRs were issued, bringing the total number of MIRs issued to date to 21.	The processing of an MIR is considered as an off-site USOAP CMA activity.

Activity	Conducted	Comments
3. Training		
3.1	<i>Training of Auditor and Subject Matter Expert Nominees</i>	
	<p>Manage the USOAP CMA computer-based training (CBT) as a tool for the selection and training of potential auditors and subject matter experts of the USOAP CMA.</p>	<p>23 nominees qualified for training of auditors and subject matter experts, and were enrolled in the USOAP CMA CBT in 2017. As of December 2017 and since the launch of the CBT in 2011, 372 participants from 73 States and 6 international/regional organizations have completed the CBT. The USOAP CMA roster now includes a total of 114 qualified USOAP auditors and/or subject matter experts.</p>
3.2	<i>Familiarization Training for State Employees</i>	
	<p>Provide training to States' National Continuous Monitoring Coordinators (NCCMs) and familiarize States' safety oversight employees with USOAP CMA methodology and activities.</p>	<p>As of December 2017 and since the launch of the CBT in 2011, 1281 participants from 111 States and 15 international/regional organizations have taken the CBT for NCCM and familiarization training.</p>
3.3	<i>Workshops</i>	
	<p>Assist States in their participation in USOAP CMA and, particularly, preparation for an upcoming USOAP CMA activity.</p>	<p>9 workshops were conducted with 254 participants from 41 States and 2 international/regional organizations. 3 regional workshops were budgeted and conducted by ICAO. One was hosted by the APAC Regional Office in Bangkok, one was hosted by the MID Regional Office in Cairo, and another was hosted by the SAM Regional Office in Lima. 6 workshops were conducted on a cost-recovery basis (in chronological order): Turkey; Qatar; Jordan; Eastern Caribbean Civil Aviation Authority (ECCAA), St. John's, Antigua and Barbuda; Republic of Korea; and Kenya.</p>
		<p>States and recognized organizations are called upon to nominate experts for secondment to ICAO as auditors and subject matter experts, on a long- or short-term basis, in support of the USOAP CMA.</p> <p>In 2017, France, Malaysia, the Republic of Korea and Singapore continued to provide long-term secondments to support the USOAP CMA.</p>
